

NTAA's SMSF Audit 2018 Online Seminar



**UPDATED
NTAA ONLINE
SEMINAR Earn
3.5 CPD Hours**

A Comprehensive Guide to Auditing an SMSF – Everything you NEED to Know!

This online seminar provides a practical outline to auditing SMSFs.

It's about 'rolling up the sleeves' and actually learning how to audit an SMSF from the planning stage to finalising the audit report.

What's NEW for Audits in 2018?

- ❑ The **NEW** landscape for SMSF auditors following the decisions in Cam & Bear and Ryan Wealth Holdings
- ❑ An update on the proposed three-yearly audit cycle
- ❑ How the appeal decision in Aussiegolfa affects the approach of SMSF auditors to the sole purpose test
- ❑ Gain an understanding on how Auditors are integrating **NEW** technology to assist in finalising an SMSF Audit
- ❑ The further disqualifications of SMSF auditors as the ATO and ASIC continue to focus on auditor independence and audit quality
- ❑ The importance of the Valuation of Assets post the superannuation reforms

Step 1: Who can audit an SMSF

- ❑ What are the ASIC registration requirements for those wishing to audit an SMSF?
- ❑ Who is required to complete an exam to audit an SMSF?
- ❑ What are the ongoing CPD requirements?

Step 2: Preparing for the audit of an SMSF

We pinpoint the issues that need consideration before auditing an SMSF and provide practical guidance

- ❑ What client acceptance and retention procedures are necessary?
- ❑ What are the considerations when assessing risk and the potential for fraud?
- ❑ What is essential in an audit engagement letter?

Step 3: Conducting the audit of an SMSF

We walk you through the process of physically auditing an SMSF in a detailed case study

We examine everything involved in conducting the financial and SIS compliance audit.

- ❑ A detailed guide to the audit testing procedures when auditing an SMSF
- ❑ An insight into the common SIS compliance breaches (such as loans to members and in-house assets) from the auditor's perspective

Participants also receive the NTAA audit program providing detailed guidance to auditing SMSFs which has been fully updated on all the important changes



Step 4: What's needed to finalise the audit of an SMSF

The seminar provides practical guidance to:

- ❑ When an SMSF auditor is required to 'qualify' an audit opinion
- ❑ The auditor contravention report – An NTAA guide
- ❑ The ATO's compliance program and voluntary disclosure



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NTAA's Online SMSF Audit Seminar



PLUS . . . A detailed NTAA Audit Case Study and SMSF Audit Kit

The case study includes real life examples of compliance breaches which will be discussed during the seminar and a fully worked example of what's needed to audit an SMSF.

You will also be provided with the NTAA's SMSF Audit Kit in electronic format that provides detailed guidance to auditing SMSFs. It includes a full update on all the important changes and everything that's needed in the permanent and audit file to finalise an SMSF audit. Template letters are also provided which can be used throughout the audit.

NTAA's Online SMSF Audit Seminar Cost

Members

- \$ 295 (incl. GST) - Includes PDF and hard copy of the notes
- \$ 275 (incl. GST) - PDF copy of the notes only

Non-Members

- \$ 395 (incl. GST) - Includes PDF and hard copy of the notes
- \$ 375 (incl. GST) - PDF copy of the notes only

Online Duration: 3.5 Hours

What do you receive?

You will receive comprehensive notes and a detailed audit kit.

Plus, 3.5 hours of CPD.

You will have 30 days to watch this seminar, but the timer will not start until you commence the video.

NTAA's Online SMSF Audit Seminar Registration

This document will be a tax invoice for GST when fully completed and you make payment to the National Tax & Accountants' Association Ltd.
NTAA's ABN: 76 057 551 854

NTAA Membership No. _____

Name _____
(Please print first name and last name)

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