



COMPLAINTS REPORT FOR THE PERIOD ENDED 30 JUNE 2017

During the period 1 July 2016 to 30 June 2017 there were six complaints against Fellows of the National Tax Agents' Association Ltd.

- 1) A Fellow's Tax Agents' License was terminated by the TPB for breaching TASA.

Accordingly the NTAA have suspended the Fellow in line with the TPB decision.

- 2) The TPB contacted a Fellow for failing to notify the Board of their undischarged bankruptcy status.

As per the NTAA+ Constitution, the Fellowship was terminated.

- 3) A Fellow was accused of inappropriate administration of a client trust account.

Correspondence was sent to the Fellow requesting an explanation.

This case is still pending.

- 4) The TPB contacted a Fellow for being in breach of the TASA, resulting in the surrender of their Tax Agent Licence.

The Fellowship has expired, the Association will disallow any application renewal.

- 5) The TPB contacted a Fellow for being in breach of the TASA. The Fellow was formally cautioned for not acting honestly and failing to provide reasonable care.

The Fellow is seeking an extension to fulfil the educational criteria of the administrative sanction.

This case is still pending.

- 6) The TPB contacted a Fellow for failing to complete the Annual Declaration in the time allocated.

The Declaration has since been completed.